

Attorney's Docket No.:	JG-YY-4952	
U.S. Application No.:	09/508026	
International Application No.:	PCT/JP98/03983	
International Filing Date:	SEPTEMBER 04, 1998	04 SEPTEMBER 1998
Priority Date Claimed:	SEPTEMBER 04, 1997	04 SEPTEMBER 1997
Title of Invention:	EPOXYSUCCINAMIDE DERIVATIVES	
Applicant(s) for (DO/EO/US):	Yutaka MOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 23.
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☐ 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **TO FOLLOW**
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. below concern other document(s) or information included:

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ A **FIRST** preliminary amendment
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A substitute specification
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **PCT/RO/101, Publication No. WO 99/11640 published 11MAR99 with Search Report (PCT/ISA/210) dated 8DEC98 and Article 34 (Amended claims) dated 2APR99.**

EXPRESS MAIL NO: EM 165 844 321 US

Deposited: March 6, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.

Ruth Montalvo

/Ruth Montalvo Date: March 6, 2000

U.S. Application No. (if known, see 37 CFR 1.50):
 International Application No.: PCT/JP98/3

09/508026

Attorney's Docket No: JG-YY-4952

CALCULATIONS

PTO USE ONLY

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

☒ Search Report has been prepared by the EPO or JPO..... \$ 840.00

☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 670.00

☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482]
 but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 690.00

☐ Neither International preliminary examination fee [37 CFR 1.482] nor
 International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 970.00

☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]
 and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 96.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$ 840.00

Claims

Number
filed

15
7

-20
-03

Number
extra

4

Rate

x \$ 18. =
x \$ 78. =

Total Claims (Prel. Amt)
Indep. Claims

\$ 312.00

☐ Multiple Dependent Claim(s) (if applicable) + \$260. =

TOTAL OF ABOVE CALCULATIONS:

\$ 1,152.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
 the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$ 1,152.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be
 filed. [Note 37 CFR 1.9, 1.27, 1.28]

\$.00

SUBTOTAL:

\$ 1,152.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months
 from the earliest claimed priority date [37 CFR 1.492(f)]

\$ 0.00

TOTAL NATIONAL FEE:

\$ 1,152.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
 accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

\$.00

TOTAL FEE(S):

\$ 1,152.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDED
CHARGED

\$
\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

☒ Check in the amount of \$ 1,152.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to
 charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
 or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Jules E. Goldberg, Esq.
 McAulay Nissen Goldberg Kiel & Hand, LLP
 261 Madison Avenue
 New York, NY 10016-2391

Jules E. Goldberg
 Name (Tel. (212) 986-4090)

Signature

24,408
 Reg. No.

March 6, 2000
 Date

EXPRESS MAIL No.: EM 165 844 321 US

Deposited: March 6, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231

E-MAIL: MFNGK@AOL.COM


/ Ruth Montalvo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: JG-YY-4952

Applicant(s): Yutaka MOMURA, Toshihiro TAKAHASHI, Yasushi YOSHINO and Koichiro NISHIOKA

Serial No.: (Int'l Appln. PCT/JP98/03983 filed September 4, 1998)

Filed:

For: EPOXYSUCCINAMIDE DERIVATIVES

National Phase of PCT Application No. PCT/JP98/03983 filed 04 SEPTEMBER 1998

TRANSMITTED HERewith IS THE FOLLOWING

- X 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
X 2. Request (PCT/RO/101);
X 3. Publication No. WO 99/11640 published 11MAR99 with Search Report (PCT/ISA/210) dated 8DEC98;
X 4. English language text [53 pgs of Specification, 6 pgs of Claims and Abstract (NO Drawings);
X 5. Article 34 (Amended claims) dated 2APR99;
X 6. Preliminary Amendment (Claims 15/7);

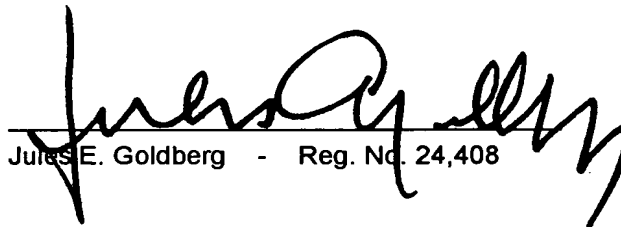
~~TO FOLLOW~~ Executed Declaration/POA;

~~TO FOLLOW~~ PTO-1619A and Assignment;

- X 7. Check in the amount of \$ 1,152.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,


Jules E. Goldberg - Reg. No. 24,408

JEG:ram